



The International Olympic Committee
Anti-Doping Rules
applicable to the 2nd Summer Youth Olympic
Games in Nanjing, 2014

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PREAMBLE

The *International Olympic Committee (IOC)* is the supreme authority of the Olympic Movement and, in particular, the *Youth Olympic Games*. Any *Person* belonging in any capacity whatsoever to the Olympic Movement is bound by the provisions of the Olympic Charter and shall abide by the decisions of the *IOC*.

The Olympic Charter reflects the importance that the *IOC* places on the fight against doping in sport and its support for the World Anti-Doping Code (the *Code*) as adopted by the *IOC*.

The *IOC* has established and adopted these *IOC* Anti-Doping Rules (*Rules*) in accordance with the *Code*, expecting that, in the spirit of sport, it will contribute to the fight against doping in the Olympic Movement. The *Rules* are complemented by other *IOC* documents, *International Standards* addressed throughout the *Rules* and the *Code* and the anti-doping rules of the relevant *IFs*.

Anti-doping rules, like competition rules, are sport rules governing the conditions under which sport is played. All *Participants (Athletes and Athlete Support Personnel)* and other *Persons* accept these *Rules* as a condition of participation and are presumed to have agreed to comply with the *Rules*.

The *IOC* Executive Board is responsible for establishing policies, guidelines and procedures with respect to the fight against doping, including anti-doping rule violation management and compliance with internationally accepted regulations, including the *Code*.

The President of the *IOC* appoints a Medical Commission which is responsible, in accordance with directions from the *IOC* Executive Board, to implement these *Rules*.

The *IOC* Therapeutic Use Exemption Committee (TUEC) is the committee appointed by the *IOC* Medical Commission to assess each Therapeutic Use Exemption application (TUE).

Unless specifically directed in the *Code*, the *Person* responsible for the administration of the provisions thereof shall be the *IOC Medical Director*. The *IOC Medical Director* may delegate specific responsibilities to such *Person* or *Persons* at his discretion.

The meaning of the capitalised terms (appearing in italics) contained in these *Rules* are defined in Appendix 1 hereto.

In these *Rules*, the masculine gender used in relation to any physical *Person* shall, unless there is a specific provision to the contrary, be understood as including the feminine gender.

Athletes or other *Persons* shall be responsible for knowing what constitutes an anti-doping rule violation and the substances and methods which have been included on the *Prohibited List*.

ARTICLE 1 APPLICATION OF THE CODE - DEFINITION OF DOPING – BREACH OF THE RULES

1.1 The commission of an anti-doping rule violation is a breach of these *Rules*.

1.2 Subject to the specific following provisions of the *Rules* below, the provisions of the *Code* and of the *International Standards* apply *mutatis mutandis* in relation to the *Nanjing Youth Olympic Games*.

ARTICLE 2 ANTI-DOPING RULE VIOLATIONS

Article 2 of the *Code* applies to determine anti-doping rule violations, with the following amendments:

- (A) *Possession of Prohibited Substances and Prohibited Methods*
 - (A.1) *Possession by an Athlete of a Prohibited Substance or a Prohibited Method*, unless the *Athlete* establishes that the *Possession* is pursuant to a therapeutic use exemption (“TUE”) granted in accordance with Article 3.2 (Therapeutic Use) or other acceptable justification.
 - (A.2) *Possession of a Prohibited Substance or a Prohibited Method by Athlete Support Personnel* in connection with an *Athlete*, *Competition* or training, unless the *Athlete Support Personnel* establishes that the *Possession* is pursuant to a TUE granted to an *Athlete* in accordance with Article 3.2 (Therapeutic Use) or other acceptable justification.

ARTICLE 3 THE PROHIBITED LIST

3.1 Incorporation, Publication and Revision of the *Prohibited List*

These *Rules* incorporate the *Prohibited List* in force as of 1 January 2014, or, if amended by WADA, the *Prohibited List* in force during the *Period of the Nanjing Youth Olympic Games*.

The *NOCs* shall be responsible for ensuring that their delegations, including their *Athletes*, are made aware of such *Prohibited List*. Ignorance of the *Prohibited List* shall not constitute any excuse whatsoever for any participant in any capacity in the *Nanjing Youth Olympic Games*.

3.2 Therapeutic Use

3.2.1 *Athletes* with a documented medical condition requiring the use of a *Prohibited Substance* or a *Prohibited Method* must first obtain a TUE.

3.2.2 It is expected that most *Athletes* entered to compete in the *Nanjing Youth Olympic Games* who require a TUE will have already received the TUE from their *International Federation* or the relevant *Anti-Doping Organisation* in accordance with the *IF* rules. These *Athletes* are required to notify any other relevant *Anti-Doping Organisations* of their receipt of a TUE. Therefore it is required that, no later than the date of the opening of the Olympic village for the *Nanjing Youth Olympic Games*, namely, 12 August 2014, the *International Federation* or the relevant *Anti-Doping Organisation* concerned must also notify the *Athlete’s NOC*, *WADA* and the *IOC Medical Commission*.

3.2.3 The *IOC* Medical Commission shall appoint a committee of at least three physicians (the "TUEC") to assess existing TUEs and to consider new requests for TUEs. *Athletes* who do not already have an approved TUE may apply to obtain a TUE from the *IOC*. The TUEC shall forthwith evaluate such new requests in accordance with the *International Standard* for Therapeutic Use Exemptions and render a decision on such request, which shall be the final decision of the *IOC*. The *IOC* Medical Commission shall promptly inform the *Athlete*, the *Athlete's NOC*, *WADA* and the relevant *International Federation* of its decision. Such decision shall only be valid during the *Period of the Nanjing Youth Olympic Games*. The *IOC* Medical Commission shall inform *WADA* prior to the 1st day of the *Nanjing Youth Olympic Games* of all TUEs that it has received and deliver a copy so that *WADA* can exercise its prerogative under Article 3.2.3.1.

3.2.3.1 *WADA*, at the request of an *Athlete*, the *IOC* or on its own initiative, may review the granting or denial of any TUE to an *Athlete*. If *WADA* determines that the granting or denial of a TUE did not comply with the *International Standard* for Therapeutic Use Exemptions then *WADA* may reverse that decision. Decisions on TUE's are subject to further appeal as provided in Article 11.

ARTICLE 4 DOPING CONTROL

4.1 Doping Control Responsibilities

The *IOC* is responsible for *Doping Control* during the *Period of the Nanjing Youth Olympic Games*. The *IOC* is entitled to delegate all or part of its responsibility for *Doping Control* to one or several other organisations.

The *Period of the Nanjing Youth Olympic Games*, or *In-Competition Period*, is defined as "the period commencing on the date of the opening of the Olympic village for the *Nanjing Youth Olympic Games*, namely, 12 August 2014 up until and including the day of the closing ceremony of the *Nanjing Youth Olympic Games*, namely, 28 August 2014.

All *Athletes* participating at the *Nanjing Youth Olympic Games* shall be subject, during the *Period of the Nanjing Youth Olympic Games*, to *Doping Control* initiated by the *IOC* at any time or place, with *No Advance Notice*. Such *Doping Control* shall be deemed to be *In-Competition* for purposes of the *Prohibited List*, and therefore may include *Testing* for all *Prohibited Substances* and all *Prohibited Methods* referred to in the *Prohibited List*.

The *IOC* shall have the right to conduct or cause to conduct *Doping Control* during the *Period of the Nanjing Youth Olympic Games*, and is responsible for the subsequent handling of such cases.

4.2 Delegation of responsibility, overseeing and monitoring of Doping Control

4.2.1 The *IOC* will delegate the responsibility for implementing parts of the *Doping Control* to the organising committee for the *Nanjing Youth Olympic Games (NYOGOC)*, in particular *Testing*.

The *IOC* Medical Commission will be responsible for overseeing all *Doping Control* conducted by *NYOGOC* and any other *Anti-Doping Organisations (ADOs)* providing services under its authority.

4.2.2 *Doping Control* may be monitored by members of the *IOC* Medical Commission or by other qualified *Persons* so authorised by the *IOC*.

4.2.3 The *IOC* has the authority to appoint any other *Anti-Doping Organization* it deems appropriate to carry out *Doping Control* on its behalf.

4.3 Doping Control Standards

Doping Control conducted by the *IOC*, NYOGOC and any other *Anti-Doping Organization* under Article 4.2.3 shall be in conformity with the *International Standard for Testing* in force at the time of *Doping Control*.

There are a number of binding criteria established by the *IOC* in accordance with the *International Standard for Testing*. These criteria and other *IOC Doping Control* requirements are outlined in Appendix 2 to these *Rules*.

The technical operations of the *Doping Control* program at the *Nanjing Youth Olympic Games* by NYOGOC are addressed in the "Technical Procedures relating to *Doping Control*", a copy of which is attached as Appendix 3 to these *Rules*.

4.4 Coordination of the Nanjing Youth Olympic Games Doping Control

In order to deliver an effective anti-doping program for the *Nanjing Youth Olympic Games* and to avoid unnecessary duplication in *Doping Control*, the *IOC* will work with the *WADA*, the *International Federations* and the *NOCs* to ensure that there is coordination of the *Doping Control* during the *Period of the Nanjing Youth Olympic Games*.

4.5 Selection of Athletes to be Tested

4.5.1 The *IOC*, in consultation with NYOGOC and the relevant *International Federations*, shall determine the number of tests to be performed during the *Period of the Nanjing Youth Olympic Games*.

Appendix 3 details the technical procedures relating to *Doping Control* by NYOGOC.

ARTICLE 5 ANALYSIS OF SAMPLES

Samples shall be analysed in accordance with Article 6 of the *Code* and the following principles:

5.1 Storage of Samples and delayed analysis

Samples shall be stored in a secure manner at the laboratory or as otherwise directed by the *IOC* and may be further analysed. Consistent with Article 17 of the *Code*, the ownership of the samples is vested in the *IOC* until the expiration of the applicable statute of limitation as per the applicable *Code*. During this period, the *IOC* shall have the right to conduct further analyses on *Samples*, it being understood that the relevant *International Standards*, as they may be amended from time to time, shall apply as appropriate. Any anti-doping rule violation discovered as a result thereof shall be dealt with in accordance with these *Rules*. After this period, in accordance with the *International Standards*, the samples shall be destroyed or the ownership of the samples shall be transferred to the laboratory storing such samples, provided that all means of identification of the *Athletes* will be removed and destroyed and that proof of this destruction shall be provided to the *IOC*.

ARTICLE 6 DISCIPLINARY PROCEDURE WITH RESPECT TO ALLEGED ANTI-DOPING RULE VIOLATIONS ARISING UPON THE OCCASION OF THE NANJING YOUTH OLYMPIC GAMES

6.1 General Principles

- 6.1.1** These *Rules*, in particular this Article 6, set forth the applicable procedure in order to establish any anti-doping rule violation, to identify the *Athlete* or other *Person* concerned and to apply the measures and sanctions set forth in the Olympic Charter and the *Code*.
- 6.1.2.** Any anti-doping rule violation arising upon the occasion of the *Nanjing Youth Olympic Games* will be subject to the measures and sanctions set forth in Rule 59 of the Olympic Charter and its Bye-law, and/or in the *Code*.
- 6.1.3** Any measure or sanction applying to any anti-doping rule violation arising upon the occasion of the *Nanjing Youth Olympic Games* will be pronounced in accordance with Rule 59 of the Olympic Charter and its Bye-law.
- 6.1.4** Pursuant to Rule 59.2.4 of the Olympic Charter, the *IOC* Executive Board delegates to a Disciplinary Commission, as established pursuant to Article 6.2.4 below (the "Disciplinary Commission") all its powers, except:
- (i) the power to pronounce, with regards to *IOC* members, the honorary President, honorary members and honour members, a reprimand or suspension (Rule 59.1.1 of the Olympic Charter);
 - (ii) the power to pronounce, with regards to *IFs*, the withdrawal from the programme of the *Youth Olympic Games* and the Olympic Games of a discipline or event (Rule 59.1.2(a) of the Olympic Charter) as well as the withdrawal of provisional recognition of an *IF* or of an association of *IFs* (Rules 59.1.2(b) and 59.1.3(a) of the Olympic Charter);
 - (iii) the power, with regards to *NOCs*, to pronounce the suspension, or the withdrawal of provisional recognition of an *NOC* or of an association of *NOCs* or another recognized association or organisation (Rules 59.1.4(a) and (b), 59.1.5(a) and 59.1.8(a) of the Olympic Charter);
 - (iv) in the context of the *Nanjing Youth Olympic Games*, with regard to individual competitors, teams, officials, managers, other members of any delegation as well as referees and members of the jury: the power to pronounce permanent *ineligibility* or exclusion from future Youth Olympic Games or Olympic Games (Rules 59.2.1 and 59.2.2 of the Olympic Charter).

In addition, the *IOC* President, when setting up a Disciplinary Commission pursuant to Article 6.2.4 below, may decide, at his discretion, that all measures and sanctions in a given case will be pronounced by the *IOC* Executive Board, in which case the Disciplinary Commission's powers will be those as set forth in Article 6.1.5 and 6.1.7 below.

- 6.1.5** In all procedures relating to any anti-doping rule violations arising upon the occasion of the *Summer Youth Olympic Games*, the right of any *Person* to be heard pursuant to paragraph 3 to Bye-law to Rule 59 of the Olympic Charter will be exercised solely before the Disciplinary Commission. The right to be heard includes the right to be acquainted with the charges and the right to appear personally in front of the Disciplinary Commission or to submit a defence in writing, at the option of the *Person* exercising his right to be heard. The right to appear in person can also be exercised by way of video-conference.

- 6.1.6** In all cases of anti-doping rule violations arising upon the occasion of the *Nanjing Youth Olympic Games* for which the *IOC* Executive Board has delegated all its powers to the Disciplinary Commission, the said Disciplinary Commission will decide on the measure and/or sanction to be pronounced. Such decision, which the Disciplinary Commission shall promptly communicate to the *IOC* President and the *IOC* Executive Board, shall constitute the decision by the *IOC*.
- 6.1.7** In all cases of anti-doping rule violations arising upon the occasion of the *Nanjing Youth Olympic Games* for which the *IOC* Executive Board has retained its powers (see Article 6.1.4 above), the Disciplinary Commission will provide to the *IOC* Executive Board a report on the procedure conducted under the authority of the Disciplinary Commission, including a proposal to the *IOC* Executive Board as to the measure and/or sanction to be decided upon by the *IOC* Executive Board. In such case, the proposal of the Disciplinary Commission shall not be binding upon the *IOC* Executive Board, whose decision shall constitute the decision by the *IOC*.

6.2 Procedures

6.2.1 Identification of *adverse analytical finding*, informing Chairman of *IOC* Medical Commission:

The head of a laboratory which identifies an *adverse analytical finding* (e.g. with respect to the A sample), or the *Person* who alleges that any other anti-doping rule violation has been committed, shall, in accordance with the terms of the agreement between the International Olympic Committee and the laboratory, inform the Chairman of the *IOC* Medical Commission or the person designated by him and provide him, by secure fax, confidential hand delivery, by secure and confidential electronic notification or in any other confidential written manner, with a detailed report containing the results of the *adverse analytical finding* and the documentation relating to the analyses performed or the relevant information relating to such other apparent anti-doping rule violation.

6.2.2 Verification of validity of anti-doping rule violation:

The Chairman of the *IOC* Medical Commission, assisted by the *IOC* Medical Director, shall identify the *Athlete* or other *Person* being charged with an anti-doping rule violation and verify whether it is in fact an *adverse analytical finding* (e.g. that there is no TUE) or whether it appears that any other anti-doping rule violation may have been committed. The Chairman of the *IOC* Medical Commission, assisted by the *IOC* Medical Director, shall also determine whether there is any apparent departure from the *International Standard for Testing* or the *International Standard for Laboratories* that caused the *adverse analytical finding*.

6.2.3 Informing the *IOC* President:

If the review under Article 6.2.2 above does not reveal an applicable TUE or departure from the *International Standards* that caused the *adverse analytical finding*, the Chairman of the *IOC* Medical Commission or a person designated by him shall immediately inform the *IOC* President of the existence of the *adverse analytical finding*, or other apparent anti-doping rule violation, and the essential details available to him concerning the case.

6.2.4 Setting up of the Disciplinary Commission:

Upon being informed as per Article 6.2.3 hereof, the *IOC* President shall promptly set up a Disciplinary Commission. This Commission shall consist of a Chairman, who shall be the Chairman of the *IOC* Juridical Commission or a member of such Commission designated by the *IOC* President, plus two other persons who are members of the *IOC* Executive Board and/or of the *IOC* Juridical Commission. The Disciplinary Commission shall be assisted by the *IOC* Legal Department and the *IOC* Medical and Scientific Department.

6.2.5 Notifying *Athlete* or other *Persons* concerned of the anti-doping rule violation:

The *IOC* President or a person designated by him shall promptly notify the *Athlete* or other *Person* concerned, the *Athlete's* or other *Person's* chef de mission or a representative of his/her *National Olympic Committee* and the *International Federation* concerned of:

- a) the *adverse analytical finding*;
- b) the *Athlete's* right to request the analysis of the B sample or, failing such request, that the B sample analysis may be deemed waived;
- c) the scheduled date, time and place for the B *Sample* analysis if the *Athlete* or the *IOC* chooses to request an analysis of the B *Sample*;
- d) the right of the *Athlete* and/or the *Athlete's* representative to attend the B sample opening and analysis if such analysis is requested;
- e) the *Athlete's* right to request copies of the A and B sample laboratory package, which includes information as required by the *International Standard for Laboratories*;
- f) the anti-doping rule violation or, where applicable, instead of the information in (a) to (e), the factual basis of the other anti-doping rule violation(s), and if applicable, the additional investigation that will be conducted as to whether there is an anti-doping rule violation;
- g) the composition of the Disciplinary Commission.

It shall be the responsibility of the chef de mission or a representative of the *Athlete's* or *Person's National Olympic Committee* to inform the relevant *National Anti-Doping Organisation* of the *Athlete*.

6.2.6 Exercise of the right to be heard:

Included in the notification referred to in Article 6.2.5 above, the *IOC* President or a person designated by him shall offer the *Athlete*, or other *Person*, and his/her chef de mission or representative of his/her *National Olympic Committee* the option to either attend a hearing of the Disciplinary Commission, or to submit a defence in writing. If the *Athlete*, or other *Person*, and his/her chef de mission or representative of his/her *National Olympic Committee* elect to attend a hearing of the Disciplinary Commission, the *Athlete* or other *Person* may be accompanied or represented at the hearing by *Persons* of their choice (e.g. lawyer, doctor, etc.), with a maximum of three for each of the *Athlete* or other *Person*. The President of the *International Federation* concerned, or his representative, shall also be invited to attend the hearing. If the *Athlete* or other *Person* and/or his/her chef de mission or representative of his/her *National Olympic Committee* elect not to attend a hearing of the Disciplinary Commission, they may

submit a defence in writing, which should be delivered to the Disciplinary Commission within the deadline set forth by the Disciplinary Commission to that effect.

The Chairman of the Disciplinary Commission may take all reasonable measures that he considers appropriate in the circumstances in order that a decision can be made in accordance with these *Rules*.

6.2.7 *Provisional Suspension:*

The Chairman of the Disciplinary Commission may impose a *Provisional Suspension* upon the *Athlete* or other *Person* concerned until the decision has been pronounced by the Disciplinary Commission or the *IOC* Executive Board, as the case may be.

6.2.8 Nature and circumstances of violation; adducing evidence:

The Disciplinary Commission shall determine the nature and circumstances of any anti-doping rule violation which may have been committed. It shall allow the *Athlete* or other *Person* concerned an opportunity to adduce any relevant evidence, which does not require the use of disproportionate means (as decided by the Disciplinary Commission), which the *Athlete* or other *Person* deems helpful to the defence of his case in relation to the result of the test, or other anti-doping rule violation, either orally, before the Commission, or in writing, as the *Athlete* or other *Person* concerned so wishes.

6.2.9 Opinion of experts, adducing other evidence:

The Disciplinary Commission may seek the opinion of experts or obtain other evidence on its own motion.

6.2.10 Intervention of *International Federation* concerned:

The *International Federation* concerned, if it has chosen to take part in the discussions, may intervene as an interested third party and adduce evidence. To the extent that the *Athlete* is a member of a *Team Sport*, or is participating in a sport that is not a *Team Sport* but where awards are given to teams, the *International Federation* shall help ensure that the sanctions imposed by the *IOC* are as provided in the applicable rules of the relevant *International Federation*.

6.2.11 Extending the procedure to other *Persons*:

If, at any time (i.e. before, during or after the hearing), circumstances suggest such a course of action, the Disciplinary Commission may propose extending the procedure to any other *Person(s)* (particularly among the *Athlete's* entourage) subject to *IOC* jurisdiction who may have contributed to the apparent anti-doping rule violation. In such an event, it shall submit a report to the *IOC* President, who will take a decision in this regard. If the *IOC* President decides to initiate a procedure with regard to such other *Person(s)*, he will decide if this will take place in the form of an independent procedure or as part of the on-going procedure. In any event, these rules of procedure and general provisions shall apply *mutatis mutandis* to such other *Person(s)*.

6.2.12. Notifying the *Athlete* and other parties concerned of decision:

The *IOC* President, or a *Person* designated by him, shall promptly notify the *Athlete* or other *Person* concerned, the chef de mission or the representative of the *Athlete's* or other *Person's National Olympic Committee*, the *International Federation* concerned and the *WADA* of the decision of the Disciplinary Commission or of the *IOC* Executive Board, as the case may be, by sending a full copy of the decision to the addressees.

6.3 General Provisions

6.3.1. Conflict of interest:

No *Person* may be a member of the *IOC* Disciplinary Commission if he (i) has the same nationality as the *Athlete*, or other *Person*, concerned; (ii) has any declared or apparent conflict of interest with such *Athlete*, the *National Olympic Committee* or *International Federation* of such *Athlete* or any *Person* whatsoever involved in the case; or (iii) in any way whatsoever, does not feel himself to be free and independent.

6.3.2. Violation of procedures and other provisions:

No violation of the above-noted procedures and other provisions related thereto can be invoked if the *Athlete* or other *Person* involved has not been prejudiced by such violation.

6.3.3 Deemed Notification

Notice to an *Athlete* or other *Person* who has been accredited pursuant to the request of the *NOC*, may be accomplished by delivery of the notice to the *NOC*. Notification to the Chef de Mission or the President or Secretary General of the *NOC* of the *Athlete* or other *Person* shall be deemed to be a delivery of notice to the *NOC*.

ARTICLE 7 AUTOMATIC DISQUALIFICATION OF INDIVIDUAL RESULTS, INELIGIBILITY

7.1 Automatic Disqualification:

A violation of these *Rules* in *Individual Sports* in connection with *Doping Control* automatically leads to *Disqualification* of the *Athlete's* results in the *Competition* in question, with all other consequences, including forfeiture of any medals, points and prizes.

7.2 Ineligibility:

Should an *Athlete* be found to have committed an anti-doping rule violation before he has actually participated in a *Competition* at the *Nanjing Youth Olympic Games* or, in the case where an *Athlete* has already participated in a *Competition* at the *Nanjing Youth Olympic Games* but is scheduled to participate in additional *Competitions* at the *Nanjing Youth Olympic Games*, the Disciplinary Commission or the *IOC* Executive Board, as the case may be, may declare the *Athlete* ineligible for such *Competitions* at the *Nanjing Youth Olympic Games* in which he has not yet participated, along with other sanctions which may follow, such as exclusion of the *Athlete* and other *Persons* concerned from the *Nanjing Youth Olympic Games* and the loss of accreditation.

7.3 Temporary or Permanent Ineligibility

The Disciplinary Commission or the *IOC* Executive Board, as the case may be, may declare the *Athlete*, as well as other *Persons* concerned, temporarily or permanently ineligible for future editions of the Summer and Winter Youth Olympic Games, Games of the Olympiad and the Olympic Winter Games.

ARTICLE 8 SANCTIONS ON INDIVIDUALS

8.1 Disqualification of Nanjing Youth Olympic Games Results

An anti-doping rule violation occurring during or in connection with the *Nanjing Youth Olympic Games* may lead to *Disqualification* of all of the *Athlete's* results obtained in the *Nanjing Youth Olympic Games Olympic Games* with all consequences, including forfeiture of all medals, points and prizes, except as provided in Article 8.1.1.

8.1.1 If the *Athlete* establishes that he or she bears *No Fault or Negligence* for the violation, the *Athlete's* results in the *Competitions* (for which the *Athlete's* results have not been automatically *Disqualified* as per Article 7.1 hereof) shall not be *Disqualified* unless the *Athlete's* results in *Competitions* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

8.2 Status During Ineligibility

No *Person* who has been declared *Ineligible* may, during the period of *Ineligibility*, participate in any capacity in the Olympic Games or the *Youth Olympic Games*.

8.3 Consequences of Anti-Doping Rule Violations beyond Disqualification:

The *Consequences of Anti-Doping Rule Violations* and the conduct of additional hearings as a consequence of hearings and decisions of the *IOC*, including with regard to the imposition of sanctions over and above those relating to the *Nanjing Youth Olympic Games*, shall be managed by the relevant *International Federations*.

ARTICLE 9 CONSEQUENCES TO TEAMS

9.1 Where more than one member of a team in a *Team Sport* has been notified of a possible anti-doping rule violation under Article 6 in connection with the *Nanjing Youth Olympic Games*, the team shall be subject to *Target Testing* for the *Nanjing Youth Olympic Games*.

In *Team Sports*, if more than one team member is found to have committed an anti-doping rule violation during the *Period of the Nanjing Youth Olympic Games*, the team may be subject to *Disqualification* or other disciplinary action, as provided in the applicable rules of the relevant *International Federation*.

In sports which are not *Team Sports* but where awards are given to teams, if one or more team members have committed an anti-doping rule violation during the *Period of the Nanjing Youth Olympic Games*, the team may be subject to *Disqualification*, and/or other disciplinary action as provided in the applicable rules of the relevant *International Federation*.

ARTICLE 10 FINANCIAL AND OTHER SANCTIONS ASSESSED AGAINST NATIONAL OLYMPIC COMMITTEES AND INTERNATIONAL FEDERATIONS

- 10.1** The *IOC* Executive Board has the authority, in addition to the other powers it possesses, to withhold some or all funding or other non financial support to *NOCs* and *International Federations* that are not in compliance with these *Rules*.
- 10.2** The *IOC* may elect to take additional disciplinary action against *NOCs* or *International Federations* with respect to recognition and the eligibility of its officials and *Athletes* to participate in the *Nanjing Youth Olympic Games* or in editions of the Youth Olympic Games, the Games of the Olympiad or the Olympic Winter Games subsequent to the *Nanjing Youth Olympic Games*.

ARTICLE 11 APPEALS

11.1 Decisions Subject to Appeal

Decisions made under these *Rules* may be appealed as set forth below in Article 11.2 through 11.4 or as otherwise provided in the *Code*. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise.

11.2 Appeals from Decisions Regarding *Anti-Doping* Rule Violations, Consequences, and *Provisional Suspensions*

In addition to decision for which appeals are granted under Article 13.2 of the *Code*, a decision that the *IOC* lacks jurisdiction to rule on an alleged anti-doping rule violation or its *Consequences*, and a decision to impose a *Provisional Suspension* may be appealed exclusively as provided in this Article 11.2. Notwithstanding any other provision herein, the only *Person* that may appeal from a *Provisional Suspension* is the *Athlete* or other *Person* upon whom the *Provisional Suspension* is imposed.

11.2.1 In all cases arising from the *Nanjing Youth Olympic Games*, the decision may be appealed exclusively to the Court of Arbitration for Sport ("CAS") in accordance with the provisions applicable before such court.

11.2.2 In cases under Article 11.2.1, only the following parties shall have the right to appeal to CAS: (a) the *Athlete* or other *Person* who is the subject of the decision being appealed; (b) the relevant *International Federation* and any other *Anti-Doping Organisation* under whose rules a sanction could have been imposed; and (c) *WADA*.

11.3 Appeals from Decisions Granting or Denying a Therapeutic Use Exemption

Decisions by *WADA* reversing the grant or denial of a TUE may be appealed exclusively to CAS by the *Athlete*, the *IOC*, or *Anti-Doping Organisation* or other body designated by an *NOC* which granted or denied the TUE. Decisions to deny TUEs, and which are not reversed by *WADA*, may be appealed by *Athletes* to CAS.

11.4 Appeal from Decisions Pursuant to Article 10

Decisions by the *IOC* pursuant to Article 10 may be appealed exclusively to CAS by the *NOC* or *International Federation*.

11.5 Time for Filing Appeals

Subject to Article 13 of the Code, the time to file an appeal to CAS shall be within twenty-one (21) days from the date of receipt of the decision by the appealing party.

ARTICLE 12 DOPING CONTROL FOR HORSES – EQUINE ANTI-DOPING AND MEDICATION CONTROL RULES

- 12.1** In determining anti-doping rule violations, results management, fair hearings, Consequences, and appeals for Horses, the Fédération Equestre Internationale (FEI) shall establish and implement rules (i) that are generally consistent with Articles 1, 2, 3, 9, 10, 11, 13 and 17 of the *Code* and (ii) which include a list of prohibited substances, appropriate *Testing* procedures and a list of approved laboratories for Sample analysis.
- 12.2** Notwithstanding the application by the *IOC* of the present *Rules* to all *Athletes* and *Persons*, the FEI shall implement and apply the rules established in relation to Horses in accordance with Article 12.1 above, in particular its “Equine Anti-Doping and Controlled Medication Regulations – 2014 Version Exclusively for the 2014 Youth Olympic Games (Nanjing, China), August 2014” (hereinafter the “FEI Equine Anti-Doping and Controlled Medication Regulations – 2014 YOG”). The FEI shall forthwith provide to the *IOC* its decision rendered under the FEI Equine Anti-Doping and Controlled Medication Regulations – 2014 YOG. The right of any *Person* to be heard in relation to (i) a procedure of the FEI applying the FEI Equine Anti-Doping and Controlled Medication Regulations – 2014 YOG and (ii) any potential further consequences or sanctions from the *IOC* deriving from a decision of the FEI applying the Equine Anti-Doping and Controlled Medication Regulations – 2014 YOG, shall be exercised in front of the competent body of the FEI.

ARTICLE 13 APPLICABLE LAW, AMENDMENT AND INTERPRETATION OF ANTI-DOPING RULES

- 12.1** These *Rules* are governed by the Olympic Charter and by Swiss law.
- 12.2** These *Rules* may be amended from time to time by the *IOC* Executive Board.
- 12.3** The headings used for the various Parts and Articles of these *Rules* are for convenience only and shall not be deemed part of the substance of these *Rules* or to affect in any way the language of the provisions to which they refer.
- 12.4** The PREAMBLE and the APPENDICES shall be considered integral parts of these *Rules*.
- 12.5** These *Rules* have been adopted pursuant to the applicable provisions of the *Code* and shall be interpreted in a manner that is consistent with applicable provisions of the *Code*. The comments annotating various provisions of the *Code* may, where applicable, assist in the understanding and interpretation of these *Rules*.

ARTICLE 14 LANGUAGES

The English version of these *Rules* shall prevail.

APPENDIX 1 DEFINITIONS

Unless stated otherwise below, the definitions of the Code and the *International Standards* apply, *mutatis mutandis*, to the capitalized terms appearing in italics in the Rules.

Athlete. Any *Person* who participates, or who may potentially participate, in the *Nanjing Youth Olympic Games*.

Competition. A single race, match, game or singular athletic contest.

In-Competition Period. The *Period of the Nanjing Youth Olympic Games*.

International Federation or IF. An international non-governmental organisation, recognised by the *IOC*, administering one or several sports at world level and encompassing organisations administering such sports at national level.

International Standard for Laboratories. A standard adopted by *WADA* in support of the *Code with regard to Laboratory Analysis*.

International Standard for Testing. A standard adopted by *WADA* in support of the *Code* with regard to the *Testing* processes.

IOC. International Olympic Committee.

Nanjing Youth Olympic Games. The 2nd Youth Olympic Games in Nanjing in 2014.

Period of the Nanjing Youth Olympic Games. The period commencing on the date of the opening of the Olympic village for the *Nanjing Youth Olympic Games*, namely, 12 August 2014, , up until and including the day of the closing ceremony of the *Nanjing Youth Olympic Games*, namely 28 August 2014..

Possession. (In addition to the definition set out in the Code:) For the avoidance of doubt, a *Person* may be in the *Possession* of a *Prohibited Method* when such *Person* has in his/her actual physical or constructive possession some or all of the materials necessary to carry out the *Prohibited Method*

Rules. The International Olympic Committee Anti-Doping Rules applicable to the *Nanjing Youth Olympic Games*.

NYOGOC. The organising committee for the *Nanjing Youth Olympic Games*.

APPENDIX 2 CRITERIA RELATING TO THE INTERNATIONAL STANDARD FOR TESTING (Article 4.3 of the Rules)

The meaning of the capitalised terms (appearing in *italics*) contained in this Appendix are defined in the relevant *International Standard for Testing* or in Appendix 1 to the *Rules*.

The *International Standard for Testing* includes standards for test distribution planning, notification of *Athletes*, preparing for and conducting *Sample* collection, security/post test administration and transport of *Samples*.

The *IOC* requires *NYOGOC* or any anti-doping organization performing tests on its behalf to plan and conduct the *Doping Control* in conformity with the *International Standards*.

There are a number of standards for which the *IOC*, as Anti-Doping Organisation (ADO), is required to establish criteria. The following table outlines the requirements of the *IOC*. Each item is referenced from the *International Standard for Testing*:

Ref.	Item	Criteria
5.3.4	The ADO shall establish criteria to validate the identity of an <i>Athlete</i> selected to provide a <i>Sample</i> . This ensures the selected <i>Athlete</i> is the <i>Athlete</i> who is notified.	The <i>IOC</i> requires the <i>Athlete</i> to present his/her Olympic identity and accreditation card. If the <i>Athlete</i> does not have an Olympic identity and accreditation card then an official identity document with photo is required.
5.3.6 5.3.5	For Sample Collection, the ADO shall establish criteria to ensure that reasonable attempts are made to notify <i>Athletes</i> of their selection for <i>Sample</i> collection	DCOs will be provided with any training or competition schedules managed by <i>NYOGOC</i> , and will make all reasonable attempts to locate and notify the <i>Athletes</i> .
6.2b) 6.3.3	The ADO shall establish criteria for who may be authorised to be present during a <i>Sample</i> Collection Session in addition to the <i>Sample</i> Collection Personnel (and the <i>Athlete</i>)	In addition to the <i>Athlete</i> and the <i>Sample</i> Collection Personnel, the following people may be present (see <i>International Standard for Testing</i> for conditions) during a <i>Sample</i> Collection Session: <ul style="list-style-type: none"> • <i>Athlete</i> representative • Interpreter • <i>IOC</i> representative • <i>International Federation</i> representative • <i>NYOGOC</i> management team

Ref.	Item	Criteria
6.2c) 6.3.2	<p>The ADO shall ensure that the Doping Control Station meets the minimum criteria prescribed in 6.3.2.</p> <p>The DCO shall use a Doping Control Station which, as a minimum, ensures the <i>Athlete's</i> privacy and is used solely as a Doping Control Station for the duration of the Sample Collection Session.</p>	<p>Unless otherwise agreed, the <i>IOC</i> requires <i>NYOGOC</i> to provide the following as a minimum for an <i>Nanjing Youth Olympic Games Competition Venue Doping Control Station</i>:</p> <p>The Doping Control Station should consist of a Waiting Area, one or more Processing Rooms and one or more toilets. All spaces should be contained in the one enclosed lockable Station.</p> <p>The "Waiting Room" should contain a check-in desk at the entrance, a refrigerator or other form of cooling for sealed drinks, enough chairs for the peak time load of the Station, and a television.</p> <p>The "Processing Room/s" (the number required will depend on the number of <i>Athletes</i> at the peak-time) should each contain a table, 5 chairs, a lockable refrigerator and a hazard waste bin.</p> <p>The Toilet must be large enough for 2 people and enable the witness to directly observe the passing of the urine sample.</p>
7.4.5	Re minimum information on the Doping Control forms	Note that it is not an <i>IOC</i> requirement to record the <i>Athlete's</i> home address and telephone number as these are already held by <i>NYOGOC</i> for the Accreditation process.
8.3.1	The ADO shall define criteria ensuring that any sealed sample will be stored in a manner that protects its integrity, identity and security prior to transport from the Doping Control Station.	Unless otherwise agreed, the <i>IOC</i> requires the samples collected at <i>Nanjing Youth Olympic Games Competition Venues</i> to be secured in a lockable refrigerator prior to transport from the Doping Control Station.

VIOLATION OF PROCEDURAL AND GENERAL PROVISIONS

No violation of the procedures and general provisions contained in this Appendix can be invoked if the *Athlete* or other *Person* involved has not been prejudiced by such violation.

APPENDIX 3 TECHNICAL PROCEDURES FOR DOPING CONTROL

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1. INTRODUCTION

The International Olympic Committee's (*IOC*) anti-doping programme for the Nanjing 2014 Youth Olympic Games complies with the World Anti-Doping Code and the mandatory *International Standards* that comprise the World Anti-Doping Programme.

The IOC Medical Commission (IOC MC) is responsible for monitoring the Doping Control Programme and ensuring that it is conducted in accordance with the IOC Anti-Doping Rules applicable to the Nanjing 2014 Youth Olympic Games.

NYOGOC, at its expense, will put into place and carry out *Doping Control* under the authority of the IOC MC and in compliance with the provisions of the World Anti-Doping Code and the IOC Anti-Doping Rules applicable to the Nanjing 2014 Youth Olympic Games, which will be applied during Games-time. NYOGOC will be the exclusive service provider for all *Doping Control Testing* at Competition Venues, Training Venues and Athletes' Village and is responsible for establishing the infrastructure and operational provisions to enable both the *Doping Control Testing* as well as the analysis of the *Doping Control Samples*.

The main purpose of the Technical Procedures for Doping Control is to plan for effective *Testing* and to maintain the integrity and identity of the *Samples* collected, from the point when *Athlete* is notified of the test to the point when the *Samples* are transported to the laboratory for analysis.

The meanings of the terms written in *italics* are defined in the relevant *International Standards*.

Athletes at the Games may be tested by the *IOC* during the whole *Period of the Games*, regardless of where they are. The *Period of the Games* is defined as being the phase commencing on the day of the opening of the Youth Olympic Village (12 August 2014) and continuing up until and including the day of the Closing Ceremony of the Youth Olympic Games (28 August 2014).

Selection of *Athletes* for *Testing* will be carried out through random selection, targeted selection or based on the *Athlete's* finishing position. All *Samples* collected during the *Period of the Games* will be analysed using *In-Competition Testing Rules*.

Approximately 300 urine tests will be conducted from the opening of the Youth Olympic Village up to and including the day of the Closing Ceremony of the Games. Blood tests may also be conducted. *Samples* collected will be analysed at a *WADA*-accredited laboratory (Beijing Laboratories, China). The results of the tests will be provided to the IOC MC directly from the accredited laboratory no later than 15 days after the Closing Ceremony of the Games.

2. PROHIBITED SUBSTANCES AND METHODS

The World Anti-Doping Code 2014 Prohibited List (*International Standard*) will apply during the *Period of the Games*. It lists the substances and methods prohibited for use at the Nanjing 2014 Youth Olympic Games. The Prohibited List can be found on the World Anti-Doping Agency's website (www.wada-ama.org).

It is important to note that all *Samples* collected on behalf of the IOC MC and NYOGOC during the *Period of the Games* will be analysed for the substances and methods which are prohibited 'in-competition' according to the 2014 Prohibited List.

3. MEDICATION

It is the responsibility of the *Athletes* to determine whether a substance they are using, or considering using, is prohibited. During the Games, *Athletes* are strongly advised to check the status of the medication they are using, or considering using, with their Team Physician. If further clarification is required, the *Athlete* should check with the IOC MC.

During Games-time, if a prohibited substance or method is needed for emergency treatment, an exemption for its therapeutic use must be requested through the corresponding application, as described below.

4. THERAPEUTIC USE EXEMPTIONS (TUE)

The details of the Therapeutic Use Exemption (TUE) process, including the TUE application process, the criteria for granting a TUE and the TUE appeals procedure, are outlined in the World Anti-Doping Code available on the World Anti-Doping Association's website (www.wada-ama.org).

All *Athletes* competing at the Nanjing 2014 Youth Olympic Games who wish to obtain a TUE are expected to have applied to the relevant *International Federation* so that the TUE is granted no later than the day of the opening of the Youth Olympic Village (12 August 2014). During Games-time, TUE applications may also be submitted to the IOC MC either electronically, by fax or via a dedicated mailbox at the *Doping Control Operations Centre* in the Youth Olympic Village.

The IOC MC will consider a retrospective TUE application for a prohibited substance used during the Games if the prohibited substance was used in an emergency situation or was necessary in order to treat an acute medical condition. The attending physician is responsible for completing a TUE form. This form must be completed as soon as possible after the incident and be presented to the *Doping Control Operations Centre* in the Youth Olympic Village. The decision of the IOC MC will be conveyed to the *Athlete's* NOC.

5. TECHNICAL PROCEDURES FOR DOPING CONTROL

The Technical Procedures for *Doping Control* detailed below apply to all *Doping Control* tests conducted in relation to the Nanjing 2014 Youth Olympic Games and at official Games venues. The Technical Procedures outline NYOGOC's implementation of the following areas of *WADA International Standard for Testing (IST)*:

- notification of *Athletes*,
- preparing for the *Sample Collection Session*,
- conducting the *Sample Collection Session*,
- security / post-test administration,
- transport of *Samples* and documentation,
- ownership of *Samples*,
- Appendix A: Investigating a Possible Failure to Comply,
- Appendix B: Modifications for *Athletes* with Disabilities
- Appendix C: Modifications for *Athletes* who are Minors,
- Appendix D: Collection of Urine Samples,
- Appendix E: Collection of Blood Samples,
- Appendix F: Urine Samples – Insufficient Volume
- Appendix G: Urine Samples that do not meet the Requirement for Suitable Specific Gravity for Analysis
- Appendix H: Sample Collection Personnel Requirements

The *IOC* and NYOGOC will be responsible for developing the Test Distribution Plan for the relevant sports in consultation with the respective *International Federation*, in accordance with the *IST*. The Test Distribution Plan will outline the number of *Doping Control* tests to be performed for *Pre- and Post-Competition Testing* during the *Period of the Games*. Selection of *Athletes* for *Testing* will be carried out through random selection, targeted selection or based on the *Athlete's* finishing position. Written agreements will be made between the *IOC*, NYOGOC and each *International Federation* to provide the framework for *Doping Control* tests.

In implementing these Technical Procedures for *Doping Control*, NYOGOC complies with the *WADA Standard on Athlete Privacy and the Protection of Personal Data*.

6. NOTIFICATION OF ATHLETES

6.1 Objective

The objectives are to ensure that reasonable attempts are made to locate the *Athlete*, that the selected *Athlete* is notified, that the rights of the *Athlete* are maintained, that there are no opportunities to manipulate the *Sample* to be provided, and that the notification is documented.

6.2 General

6.2.1 The notification process starts when NYOGOC initiates the notification of the selected *Athlete* and ends when the *Athlete* arrives at the *Doping Control Station* or when the *Athlete's* possible *Failure to Comply* is brought to the attention of the *IOC*.

6.2.2 The main activities are:

- a) appointing *Doping Control Officers (DCOs)*, *Chaperones* and other *Sample Collection Personnel*;
- b) locating the *Athlete* and confirming his/her identity;
- c) informing the *Athlete* that he/she has been selected to provide a *Sample* and of his/her rights and responsibilities;
- d) for *No Advance Notice Sample collection*, continuously chaperoning the *Athlete* from the time of notification to the arrival at the designated *Doping Control Station*; and
- e) documenting the notification, or notification attempts.

6.3 Requirements Prior to Notification of Athletes

6.3.1 *No Advance Notice* will be the notification method for *Sample* collection whenever possible.

6.3.2 To conduct or assist with *Sample Collection Sessions*, NYOGOC will appoint and authorise *Sample Collection Personnel* who have been trained for their assigned responsibilities, who do not have a conflict of interest in the outcome of the *Sample* collection, and who are not *Minors*.

6.3.3 *DCOs/Chaperones* will have official identification that is provided and checked by NYOGOC. The minimum identification requirement is an official card/document bearing the names of NYOGOC and the *IOC*.

6.3.4 NYOGOC has established criteria to validate the identity of an *Athlete* selected to provide a *Sample*. These criteria ensure that the *Athlete*

selected and the *Athlete* notified are the same person. Identification will typically be carried out using the *Athlete's* Games-time accreditation or another reliable piece of photo identification. The method of identification of the *Athlete* will be recorded on the *Doping Control* documentation.

- 6.3.5 NYOGOC or the *DCO/Chaperone*, as applicable, will establish the location of the selected *Athlete* and plan the approach and timing of notification, taking into consideration the specific circumstances of the *Competition/training* session and the situation in question.
- 6.3.6 NYOGOC will ensure that reasonable attempts are made to notify *Athletes* of their selection for *Sample* collection. NYOGOC will record in detail *Athlete* notification attempt(s) and outcome(s).
- 6.3.7 The *Athlete* will be the first person notified that he/she has been selected for *Sample* collection, except in cases in which prior contact with a third party is required, as specified in Procedure 6.3.8.
- 6.3.8 NYOGOC or the *DCO/Chaperone*, as applicable, will consider whether it is necessary to notify a third party prior to notifying the *Athlete*. This may include situations where the *Athlete* is a *Minor*, as provided for in Appendix C: Modifications for *Athletes* who are Minors; where required by an *Athlete's* disability, as provided for in Appendix B: Modifications for *Athletes* with Disabilities; or in situations where an interpreter is required and available for the notification.
- 6.3.9 NYOGOC or the *DCO* may change a *Sample* collection from *No Advance Notice* to *Advance Notice*. Any such occurrence will be recorded.
- 6.3.10 For *Advance Notice Sample* Collection, notification will be carried out in a manner which ensures that the *Athlete* successfully receives the notification.

6.4 Requirements for Notification of Athletes

- 6.4.1 When initial contact is made, NYOGOC or the *DCO/Chaperone*, as applicable, will ensure that the *Athlete* and/or a third party (if required) is informed:
 - a) that the *Athlete* is required to undergo a *Sample* collection;
 - b) that the *Sample* collection is being conducted under the authority of the IOC;
 - c) of the type of *Sample* collection and of any conditions that must

- be adhered to prior to the *Sample* collection;
- d) of the *Athlete's* rights, including the right to:
 - have a representative and, if available, an interpreter;
 - ask for additional information about the *Sample* collection procedure;
 - request a delay in reporting to the *Doping Control Station* for valid reasons;
 - request modifications, as provided for in Appendix B: Modifications for *Athletes* with Disabilities.
- e) of the *Athlete's* responsibilities, including the requirement to:
 - remain within sight of the *DCO/Chaperone* at all times, from the first moment of in-person notification by the *DCO/Chaperone* until the completion of the *Sample* collection procedure;
 - produce identification;
 - comply with the *Sample* collection procedures and acknowledge the possible consequences of a *Failure to Comply*; and
 - report immediately to the *Doping Control Station* for *Testing*, unless delayed for valid reasons.
- f) of the location of the *Doping Control Station*;
- g) that, should the *Athlete* choose to consume food or fluids prior to providing a *Sample*, he/she does so at his/her own risk;
- h) that the *Athlete* should avoid excessive rehydration, bearing in mind the requirement to produce a *Sample* with a *Suitable Specific Gravity for Analysis*; and
- i) that the *Sample* provided by the *Athlete* to the *Sample Collection Personnel* should be the first urine passed by the *Athlete* subsequent to notification, i.e. he/she should not pass urine in the shower or otherwise prior to providing a *Sample* to the *Sample Collection Personnel*.

6.4.2 When in-person contact is made, the *DCO/Chaperone* will:

- a) keep the *Athlete* under observation at all times until the completion of his/her *Sample Collection Session*;
- b) identify themselves to the *Athlete* using their official NYOGOC accreditation identification card/document; and
- c) confirm the *Athlete's* identity. Any inability to confirm the identity of the *Athlete* will be documented. In such cases, the *DCO* responsible for conducting the *Sample Collection Session* will decide whether it is appropriate to report the situation in accordance with Appendix A: Investigating a Possible Failure to Comply.

6.4.3 The *DCO/Chaperone* will ask the *Athlete* to sign a form to

acknowledge and accept the notification. Should the *Athlete* refuse to sign the form or evade notification, the *DCO/Chaperone* will (if possible) inform the *Athlete* of the consequences of a *Failure to Comply*, and (if the *DCO* is not present) the *Chaperone* will immediately report all relevant facts to the *DCO*. If possible, the *DCO* will proceed to collect a Sample. The *DCO* will document the facts and report the circumstances to NYOGOC and the IOC as soon as possible. The IOC will follow the steps prescribed in Appendix A: Investigating a Possible Failure to Comply.

- 6.4.4 The *DCO/Chaperone* may, at his/her discretion, consider any valid third party requirement or any valid request by the *Athlete* for permission to delay reporting to the *Doping Control Station* following acknowledgement and acceptance of notification, and/or to leave the *Doping Control Station* temporarily after arrival. The *DCO/Chaperone* may grant such permission if the *Athlete* can be chaperoned continuously and kept under direct observation during the delay, and if the request relates to one or several of the following activities:

For Post-Competition Testing:

- a) participation in a Victory Ceremony,
- b) fulfilment of media commitments,
- c) competing in further *Competitions*,
- d) performing a warm down,
- e) obtaining necessary medical treatment,
- f) locating a representative and/or interpreter,
- g) obtaining photo identification, or
- h) any other reasonable circumstances which can be justified, and which shall be documented.

For Testing not carried out directly after a Competition:

- a) locating a representative and/or an interpreter,
- b) completing a training session,
- c) receiving necessary medical treatment,
- d) obtaining photo identification, or
- e) any other reasonable circumstances which can be justified, and which shall be documented.

- 6.4.5 The *DCO* or another member of the Sample Collection Personnel will document the reasons for a delay in the *Athlete* reporting to the *Doping Control Station* and/or reasons for the *Athlete* leaving the *Doping Control Station* after having arrived that may require further investigation by the IOC. Any failure by the *Athlete* to remain under constant observation shall be recorded.

- 6.4.6 A *DCO/Chaperone* will reject a request for delay from an *Athlete* if it will not be possible for the *Athlete* to be chaperoned continuously.
- 6.4.7 If an *Athlete* who has been informed of an Advance Notice *Sample* collection fails to report to the *Doping Control Station* at the designated time, the *DCO* will use his/her judgment to decide whether to attempt to contact the *Athlete*. The *DCO* will, in all cases, wait for a period of *thirty (30) minutes* following the scheduled appointed time before departing. If the *Athlete* has still not reported to the *Doping Control Station* by the time the *DCO* departs, the *DCO* will follow the requirements set out in Appendix A: Investigating a Possible Failure to Comply.
- 6.4.8 If the *Athlete* delays reporting to the *Doping Control Station* (other than in accordance with Procedure 6.4.4) but arrives prior to the *DCO's* departure, the *DCO* will decide whether to report a possible Failure to Comply. If at all possible, the *DCO* will proceed with collecting a *Sample* and will document the details of the delay in the *Athlete* reporting to the *Doping Control Station*.
- 6.4.9 If, while keeping the *Athlete* under observation, a member of the *Sample Collection Personnel* observes any matter with potential to compromise the test, the circumstances will be reported to and documented by the *DCO*. If deemed appropriate by the *DCO*, the *DCO* will follow the requirements of Appendix A: Investigating a Possible Failure to Comply and/or consider whether it is appropriate to collect an additional *Sample* from the *Athlete*

7. PREPARING FOR SAMPLE COLLECTION

7.1 Objective

The objective is to prepare for the *Sample Collection Session* in a manner that ensures that the session can be conducted efficiently and effectively.

7.2 General

- 7.2.1 Preparation for the *Sample Collection Session* starts with the establishment of a system for obtaining relevant information for conducting the session effectively and ends when it is confirmed that the *Sample* collection equipment conforms to the specified criteria.

- 7.2.2 The main activities are:
- a) establishing a system for collecting details concerning the *Sample Collection Session*;
 - b) establishing criteria determining who may be present during a *Sample Collection Session*;
 - c) ensuring that the Doping Control Station meets the minimum criteria prescribed in Procedure 7.3.3; and
 - d) ensuring that *Sample Collection Equipment* used by NYOGOC meets the minimum criteria prescribed in Procedure 7.3.6.

7.3 Requirements for preparing for the Sample Collection Session

- 7.3.1 NYOGOC will obtain all the information necessary to ensure that the *Sample Collection Session* can be conducted efficiently and effectively, including special requirements to meet the needs of *Athletes* with disabilities, as provided for in Appendix B: Modifications for *Athletes* with Disabilities.
- 7.3.2 As the *Athletes* taking part in the Youth Olympic Games are *Minors*, special requirements must be made to meet their needs. These special requirements are outlined in Appendix C: Modifications for *Athletes* who are *Minors*.
- 7.3.3 The DCO will use a *Doping Control Station* which guarantees the *Athlete's* privacy and which can be used solely as a *Doping Control Station* for the duration of the *Sample Collection Session*. The DCO will record any significant deviations from these criteria.
- 7.3.4 *Doping Control Stations* will be located at all Competition Venues and at the Youth Olympic Village. The Venue Doping Control Manager or his representative is responsible for managing the operations and workforce in the *Doping Control Station* of a given venue.
- 7.3.5 These procedures establish minimum criteria determining who (in addition to the *Sample* collection personnel and members of the NYOGOC *Doping Control Team*) may be present during the *Sample Collection Session*. This includes:
- a) an *Athlete's* entitlement to be accompanied by a representative and/or interpreter during the *Sample Collection Session*, except while the *Athlete* is providing a urine *Sample*;

- b) a *Minor Athlete's* entitlement, and the witnessing *DCO/Chaperone's* entitlement, to have a representative observe the witnessing *DCO/ Chaperone* while the *Minor Athlete* is providing a urine *Sample*. This representative will, however, not directly observe the giving of the *Sample* unless requested to do so by the *Minor Athlete*;
- c) a disabled *Athlete's* entitlement to be accompanied by a representative, as provided for in Appendix B: Modifications for Athletes with Disabilities;
- d) the entitlement of an *IOC* representative to be present at the *Sample Collection Session*;
- e) the entitlement of a representative of the relevant *International Federation* to be present at the *Sample Collection Session*.

7.3.6 The *DCO* will only use *Sample* collection equipment systems that have been authorised by NYOGOC. At minimum, these will:

- a) have a unique numbering system incorporated into all bottles, containers, tubes or any other item used to store the *Athlete's Sample*;
- b) have a sealing system that is tamper-evident;
- c) ensure that the identity of the *Athlete* is not apparent from the equipment itself; and
- d) be clean and sealed prior to use by the *Athlete*.

7.3.7 NYOGOC will use Berlinger *Sample* collection equipment.

7.3.8 Photographs and any video or tape recordings may only be taken inside the *Doping Control Station* with the permission of the *Doping Control Station* Manager and only when the *Doping Control Station* is not in operation. No photographs, video or tape recordings may be taken when the *Doping Control Station* is in operation. Mobile telephones may be used as telephones but not as cameras. However, all mobile telephones must be silent mode while the *Sample* is being given.

8. CONDUCTING THE SAMPLE COLLECTION SESSION

8.1 Objective

The objective is to conduct the *Sample Collection Session* in a manner that ensures the integrity, security and identity of the *Sample* and respects the privacy of the *Athlete*.

8.2 General

- 8.2.1 The *Sample Collection Session* starts with defining overall responsibility for the conduct of the *Sample Collection Session* and ends once the *Sample* collection documentation is complete.
- 8.2.2 The main activities are:
- a) preparing to collect the *Sample*;
 - b) collecting and securing the *Sample*; and
 - c) documenting the *Sample* collection.

8.3 Requirements Prior to Sample Collection

- 8.3.1 NYOGOC will be responsible for the overall conduct of the *Sample Collection Session*, with specific responsibilities delegated to the *DCO*.
- 8.3.2 The *DCO* will ensure that the *Athlete* is informed of his/her rights and responsibilities, as specified in Procedure 6.4.1.
- 8.3.3 The *DCO* will provide the *Athlete* with the opportunity to hydrate. The *Athlete* should, however, avoid excessive hydration, bearing in mind the requirement to provide a *Sample* with a *Suitable Specific Gravity for Analysis*.
- 8.3.4 The *Athlete* will only leave the *Doping Control Station* under continuous observation by the *DCO/Chaperone* and with the approval of the *DCO*. As specified in Procedure 6.4.4, the *DCO* will consider any reasonable request made by the *Athlete* to leave the *Doping Control Station* until he/she is able to provide a *Sample*.
- 8.3.5 If the *DCO* grants approval for the *Athlete* to leave the *Doping Control Station*, the *DCO* will agree with the *Athlete* on the following conditions of leave:
- a) the purpose of the *Athlete* leaving the *Doping Control Station*,
 - b) the time of return (or return upon completion of an agreed activity),
 - c) that the *Athlete* must remain under observation at all times, and
 - d) that the *Athlete* shall not pass urine until he/she returns to the *Doping Control Station*.

- 8.3.6 The *DCO* will document this information agreed to as well as the actual time of the *Athlete's* departure and subsequent return.

8.4 Requirements for Sample Collection

- 8.4.1 The *DCO* will collect the *Sample* from the *Athlete* according to the following procedures for the specific type of *Sample* collection:

- a) Appendix D: Collection of Urine Samples; and
- b) Appendix E: Collection of Blood Samples.

- 8.4.2 Any anomalies or behaviour by the *Athlete* and/or persons associated with the *Athlete* which could potentially compromise the *Sample* collection will be recorded by the *DCO*. If appropriate, NYOGOC and/or the *DCO* will apply Appendix A: Investigating a Possible Failure to Comply.

- 8.4.3 If there are doubts as to the origin or authenticity of the *Sample*, the *Athlete* will be asked to provide an additional *Sample*. In this case, both *Samples* shall be sent to the WADA-accredited laboratory. If the *Athlete* refuses to provide an additional *Sample*, the *DCO* will document in detail the circumstances of the refusal, and NYOGOC will apply Appendix A: Investigating a Possible Failure to Comply.

- 8.4.4 The *DCO* will provide the *Athlete* with the opportunity to document any concerns he/she may have about how the *Sample Collection Session* was conducted.

- 8.4.5 In conducting the *Sample Collection Session*, the following information will be recorded, as a minimum:

- a) the date, time and type of notification (No Advance Notice, Advance Notice, pre-competition or post-competition),
- b) the time of arrival at *Doping Control Station*,
- c) the date and time of *Sample* provision,
- d) the name of the *Athlete*,
- e) the date of birth of the *Athlete*,
- f) the gender of the *Athlete*,
- g) the *Athlete's* accreditation number, which gives access to the *Athlete's* home address and telephone number via the NYOGOC database,
- h) the *Athlete's* sport and discipline,
- i) the name of the *Athlete's* coach and doctor,
- j) the *Sample* code number,

- k) the name and signature of the *Chaperone* or *DCO* who witnessed the urine *Sample* being given,
- l) the name and signature of the *Blood Collection Officer* who collected the blood *Sample* (where applicable),
- m) the required laboratory information on the *Sample*,
- n) medication and supplements taken, as declared by the *Athlete*, and details of any recent blood transfusions (if applicable), within the time frame specified by the laboratory,
- o) any irregularities in procedures,
- p) comments or concerns made by the *Athlete* regarding the conduct of the *Sample Collection Session* (if any are provided),
- q) the name and signature of the *Athlete*,
- r) the name and signature of the *Athlete's* representative (where applicable), and
- s) the name and signature of the *DCO*.

8.4.6 At the conclusion of the *Sample Collection Session*, the *Athlete* and *DCO* will sign the appropriate documentation to indicate their satisfaction that the documentation accurately reflects the details of the *Athlete's Sample Collection Session*, including any concerns recorded by the *Athlete*. Since the *Athlete* is a Minor, the *Athlete's* representative (if any is present) and the *Athlete* will both sign the documentation. Other persons present who played a formal role during the *Athlete's Sample Collection Session* may sign the documentation as a witness of the proceedings.

8.4.7 The *DCO* will provide the *Athlete* with a copy of the records of the *Sample Collection Session* that have been signed by the *Athlete*.

9. SECURITY/POST-TEST ADMINISTRATION

9.1 Objective

The objective is to ensure that all *Samples* collected at the *Doping Control Station* and all *Sample* collection documentation are securely stored prior to transporting from the *Doping Control Station*.

9.2 General

Post-test administration begins when the *Athlete* leaves the *Doping Control Station* after providing a *Sample*. Post-test administration ends with preparation of all of the collected *Samples* and related documentation for transportation.

9.3 Requirements for Security / Post –Test Administration

- 9.3.1 NYOGOC has established criteria to ensure that all *Samples* will be stored in a manner that protects their integrity, identity and security prior to transport from the *Doping Control Station*. The *DCO* will ensure that all *Samples* are stored in accordance with these criteria. These criteria are ensuring that *Samples* are placed in a lockable refrigerator within the *Doping Control Station* prior to transport.
- 9.3.2 Without exception, all *Samples* collected will be sent for analysis to a *WADA*-accredited laboratory (Beijing Laboratory, China).
- 9.3.3 The *DCO* will ensure that the documentation for each *Sample* is completed and handled in a secure manner.
- 9.3.4 NYOGOC will ensure that, where required, instructions regarding the type of analysis to be conducted are provided to the *WADA*-accredited laboratory.

10. TRANSPORT OF SAMPLES AND DOCUMENTATION

10.1 Objective

- 10.1.1 The objective is to ensure that *Samples* and related documentation arrive at the *WADA*-accredited laboratory in the condition required to carry out the necessary analysis.
- 10.1.2 The objective is to ensure that the *Sample Collection Session* documentation is sent by the *DCO* to the *IOC* in a secure and timely manner.

10.2 General

- 10.2.1 Transport starts when the *Samples* and documentation leave the *Doping Control Station* and ends with the confirmed receipt of the *Samples* and *Sample* collection documentation at their intended destination(s).
- 10.2.2 The main activities are the following: arranging for the secure transport of *Samples* and related documentation to the

WADA-accredited laboratory; and arranging for the secure transport of Sample collection documentation to the IOC.

10.3 Requirements for Transport and Storage of Samples and Documentation

- 10.3.1 NYOGOC has authorised a transport system which will ensure that *Samples* and documentation will be transported in a manner that protects their integrity, identity and security.
- 10.3.2 *Samples* will always be transferred to the WADA-accredited laboratory using an NYOGOC-authorised method of transport as soon as practicable after the completion of the *Sample Collection Session*. *Samples* will be transported in a manner which minimises the potential for Sample degradation resulting from factors such as time delays and extreme temperature variations.
- 10.3.3 Documentation identifying the *Athlete* will not be included with the *Samples* or with documentation sent to the WADA-accredited laboratory or as otherwise approved by WADA.
- 10.3.4 a) NYOGOC will send all relevant *Sample Collection Session* documentation to the IOC using an NYOGOC-authorised method of transport as soon as practicable after the completion of the *Sample Collection Session*.
b) If required, the DCO will complete all necessary documentation for customs purposes.
- 10.3.5 a) The Chain of Custody will be checked by NYOGOC if receipt of either the *Samples* with accompanying documentation or the *Sample* collection documentation is not confirmed at their intended destination, or if a *Sample's* integrity or identity may have been compromised during transport. In this instance, NYOGOC will inform the IOC, and the IOC will consider whether the *Sample* should be voided.
b) The opening of the transport bag by customs, border authorities or NYOGOC security staff will not, in itself, invalidate laboratory results.
- 10.3.6 Documentation related to a *Sample Collection Session* and/or an anti-doping rule violation will be stored by the IOC for a minimum of eight (8) years.

11. OWNERSHIP OF SAMPLES

The *IOC* owns the *Samples* collected from the *Athlete*.

APPENDIX A: INVESTIGATING A POSSIBLE FAILURE TO COMPLY

A.1 Objective

The objective is to ensure that any matters occurring before, during or after a *Sample Collection Session* that may lead to a determination of a possible *Failure to Comply* are assessed, acted upon and documented.

A.2 Scope

Investigating a possible *Failure to Comply* begins when the *IOC*, *NYOGOC* or a *DCO* becomes aware of a possible *Failure to Comply* and ends when the *IOC* takes appropriate follow-up action based on the outcome of its investigation into the possible *Failure to Comply*.

A.3 Responsibility

- A.3.1 The *IOC* is responsible for ensuring that:
- a) any matters with the potential to compromise an *Athlete's* test are assessed by means of an initial review according to the *IOC* Anti-Doping Rules in order to determine if a possible *Failure to Comply* has occurred;
 - b) all relevant information and documentation, including (where applicable) information from the immediate surroundings, is obtained as soon as possible or practical in order to ensure that all knowledge of the matter can be reported and be presented as possible evidence;
 - c) appropriate documentation is completed in order to report any possible *Failure to Comply*;
 - d) the *Athlete* or other person is informed of the possible *Failure to Comply* in writing and has the opportunity to respond; and
 - e) the final determination is made available to other *Anti-Doping Organisations*, in accordance with the World Anti-Doping Code.
- A.3.2 The *DCO* is responsible for:
- a) informing the *Athlete* or other person that a *Failure to Comply* could result in a violation of the anti-doping rules;
 - b) where possible, completing the *Athlete's Sample Collection Session*; and
 - c) providing a detailed written report of any possible *Failure to Comply*.
- A.3.3 The other members of the *Sample Collection Personnel* are responsible for:
- a) informing the *Athlete* or other person that a *Failure to Comply* could result in a violation of the anti-doping rules; and
 - b) reporting to the *DCO* any possible *Failure to Comply*.

A.4 Requirements

- A.4.1 Any possible *Failure to Comply* will be reported by the *DCO* and/or followed up by the *IOC* as soon as practical.
- A.4.2 If the *IOC* determines that there has been a possible *Failure to Comply*, the *Athlete* or other person will be notified in the course of the initial review:
- a) of the possible consequences;
 - b) that a possible *Failure to Comply* is being investigated by the *IOC*; and
 - c) that appropriate follow-up action will be taken.
- A.4.3 Any additional necessary information about the possible *Failure to Comply* will be obtained from all relevant sources, including the *Athlete* or other person, as soon as possible and recorded.
- A.4.4 The *IOC* will ensure that the outcomes of its initial review into the possible *Failure to Comply* are considered for results management action and, if applicable, for further planning and targeted *Testing*.

APPENDIX B: MODIFICATION FOR ATHLETES WITH DISABILITIES

B.1 Objective

The objective is to ensure that, where possible, the special needs of *Athletes* with disabilities are considered in relation to the provision of a *Sample*, without compromising the integrity of the *Sample Collection Session*.

B.2 Scope

Determining whether modifications are necessary starts with identification of situations where *Sample* collection involves *Athletes* with disabilities and ends with modifications to *Sample* collection procedures and equipment (where necessary and where possible).

B.3 Responsibility

NYOGOC is responsible for ensuring, where possible, that the *DCO* has any information and *Sample* collection equipment necessary to conduct a *Sample Collection Session* with an *Athlete* with a disability. The *DCO* is responsible for *Sample* collection.

B.4 Requirements

- B.4.1 All aspects of notification and *Sample* collection for *Athletes* with disabilities will be carried out in accordance with the standard notification and *Sample* collection procedures, unless modifications are necessary due to the *Athlete's* disability.
- B.4.2 In planning or arranging *Sample* collection, NYOGOC and the *DCO* will consider whether there will be any *Sample* collection for *Athletes* with disabilities that may require modifications to the standard procedures for notification or *Sample* collection, including to the *Sample* collection equipment and facilities. If requested, the *DCO* will provide the *Athlete* with a new sterile catheter to provide a *Sample*.
- B.4.3 The *DCO* will have the authority to make modifications as the situation requires when possible and as long as such modifications will not compromise the identity, security or integrity of the *Sample*. All such modifications must be documented.
- B.4.4 Where authorised by the *Athlete* and agreed to by the *DCO*, an *Athlete* with an intellectual, physical or sensory disability can be assisted by the *Athlete's* representative or a member of the *Sample Collection Personnel* during the *Sample Collection Session*.

- B.4.5 The *DCO* can decide that, if necessary, alternative *Sample* collection equipment or facilities will be used to enable the *Athlete* to provide the *Sample*, as long as the *Sample's* identity, integrity and security will not be affected.
- B.4.6 For intermittent catheter use, *Athletes* may use their own catheter to provide a *Sample*. Where possible, this catheter should be new and be produced in a tamper-evident wrapping. The *DCO* will inspect all catheters provided by an *Athlete* prior to their use; however, the cleanliness of a used or un-sealed catheter is the responsibility of the *Athlete*.
- B.4.7 *Athletes* who are using urine collection or drainage systems are required to eliminate existing urine from such systems before providing a urine *Sample* for analysis. Where possible, the existing urine collection or drainage system should be replaced with a new catheter or drainage system. The *Athlete* is responsible for ensuring that the system is clean.
- B.4.8 The *DCO* will record modifications made to the standard *Sample* collection procedures for *Athletes* with disabilities, including any applicable modifications specified in the above actions.

APPENDIX C: MODIFICATION FOR ATHLETES WHO ARE MINORS

C.1 Objective

The objective is to ensure that the needs of *Athletes* who are *Minors* are met, in relation to the provision of a *Sample*, without compromising the integrity of the *Sample Collection Session*.

C.2 Scope

Determining whether modifications are necessary starts with identification of situations in which *Sample* collection involves *Athletes* who are *Minors* and ends with modifications to *Sample* collection procedures where necessary and where possible.

C.3 Responsibility

Wherever possible, the *IOC* will be responsible for ensuring that the *DCO* has all information necessary to conduct a *Sample Collection Session* with an *Athlete* who is a *Minor*. This includes confirming, wherever necessary, that parental consent clauses are in place when arranging *Testing*.

C.4 Requirements

- C.4.1 All aspects of notification and *Sample* collection for *Athletes* who are *Minors* will be carried out in accordance with the standard notification and *Sample* collection procedures unless modifications are necessary due to the *Athlete* being a *Minor*.
- C.4.2 In planning or arranging *Sample* collection, the *IOC*, NYOGOC and the *DCO* will consider whether there will be any *Sample* collection for *Athletes* who are *Minors* that may require modifications to the standard procedures for notification or *Sample* collection.
- C.4.3 The *DCO* and NYOGOC will have the authority to make modifications as the situation requires, where possible and providing the modifications will not compromise the identity, security or integrity of the *Sample*.

- C.4.4 *Athletes* who are *Minors* should be accompanied by a representative throughout the entire Notification and *Sample Collection Session*. However, the representative will not witness the giving of a urine *Sample* unless requested to do so by the *Minor*. The objective is to ensure that the *DCO/Chaperone* observes the *Sample* provision correctly. Even if the *Minor* declines a representative, the *IOC* or *DCO/Chaperone* (as applicable) will consider whether a third party should be present during notification of and/ or collection of the *Sample* from the *Athlete*.
- C.4.5 For *Athletes* who are *Minors*, the *DCO* will determine who, in addition to the *Sample Collection Personnel*, may be present during the *Sample Collection Session*. These are:
- a) a *Minor's* representative, who will observe the *Sample Collection Session* (including observing the *DCO/Chaperone* when the *Minor* is giving the urine *Sample*, but not directly observing the giving of the urine *Sample* unless requested to do so by the *Minor*); and
 - b) the *DCO's/Chaperone's* representative, who will observe the *DCO/Chaperone* when a *Minor* is giving a urine *Sample*, but without directly observing the passing of the *Sample* unless requested to do so by the *Minor*.
- C.4.6 Should a *Minor* decline to have a representative present during the *Sample Collection Session*, this should be clearly documented by the *DCO*. This does not invalidate the test, but must be recorded. If a *Minor* declines the presence of a representative, the representative of the *DCO/Chaperone* must be present.
- C.4.7 The *IOC* and *NYOGOC* will consider the appropriate course of action when no adult is present at the *Testing* of an *Athlete* who is a *Minor* and will help the *Athlete* to locate a representative in order to proceed with *Testing*.

APPENDIX D: COLLECTION OF URINE SAMPLES

D.1 Objective

The objective is to collect an *Athlete's* urine *Sample* in a manner that ensures:

- a) consistency with relevant principles of internationally recognized standard precautions in healthcare settings so that the health and safety of the *Athlete* and *Sample Collection Personnel* are not compromised;
- b) that the *Sample* meets the *Suitable Specific Gravity for Analysis* and the *Suitable Volume of Urine for Analysis*. Failure of a *Sample* to meet these requirements in no way invalidates the suitability of the *Sample* for analysis. The determination of a *Sample's* suitability for analysis is the decision of the relevant laboratory, in consultation with the *IOC*;
- c) that the *Sample* has not been manipulated, substituted, contaminated or otherwise tampered with in any way;
- d) that the *Sample* is clearly and accurately identified; and
- e) that the *Sample* is securely sealed in a tamper-evident kit.

D.2 Scope

The collection of a urine *Sample* begins by ensuring that the *Athlete* is informed of the *Sample* collection requirements and ends by discarding any residual urine remaining at the end of the *Athlete's Sample Collection Session*.

D.3 Responsibility

The *DCO* is responsible for ensuring that all *Samples* are properly collected, identified and sealed. The *DCO* is responsible for directly witnessing the giving of the urine *Sample*.

D.4 Requirements

- D.4.1 The *DCO* will ensure that the *Athlete* is informed of the requirements of the *Sample Collection Session*, including any modifications provided for in Appendix B: Modifications for Athletes with Disabilities.
- D.4.2 The *DCO* will ensure that the *Athlete* is offered a choice of appropriate equipment for collecting the *Sample*. If the nature of an *Athlete's* disability requires that he/she must use additional or other equipment, as provided for in Appendix B: Modifications for Athletes with Disabilities, the *DCO* will inspect that equipment to ensure that it will not affect the identity or integrity of the *Sample*.
- D.4.3 The *DCO* will instruct the *Athlete* to select a collection vessel.

- D.4.4 When the *Athlete* selects a collection vessel (or any other collection equipment that directly holds the urine *Sample*) the *DCO* will instruct the *Athlete* to check that all seals on the selected equipment are intact and that the equipment has not been tampered with. If the *Athlete* is not satisfied with the selected equipment, he/she may select another. If the *Athlete* is not satisfied with any of the equipment available for the selection, this will be recorded by the *DCO*.
- D.4.5 If the *DCO* does not agree with the *Athlete's* opinion that all of the equipment available for the selection is unsatisfactory, the *DCO* will instruct the *Athlete* to proceed with the *Sample Collection Session*. If the *DCO* agrees with the reasons put forward by the *Athlete* that all of the equipment available for the selection is unsatisfactory, the *DCO* will terminate the *Sample Collection Session*. This will be recorded by the *DCO*.
- D.4.6 The *Athlete* will retain control of the collection vessel and any *Sample* provided until the *Sample* is sealed, unless an *Athlete's* disability means that he/she requires assistance, as provided for in Appendix B: Modifications for *Athletes* with Disabilities. In exceptional circumstances, and where authorised by the *Athlete* and agreed to by the *DCO*, additional assistance may be provided to any *Athlete* during the *Sample Collection Session* by the *Athlete's* representative or the members of the *Sample Collection Personnel*.
- D.4.7 The *DCO* who witnesses the giving of the *Sample* will be of the same gender as the *Athlete* providing the *Sample*.
- D.4.8 The *DCO* should, where practicable, ensure that the *Athlete* thoroughly washes his/her hands prior to giving the *Sample*.
- D.4.9 The *DCO* and *Athlete* will proceed to an area of privacy to collect a *Sample*.

- D.4.10 The *DCO* will ensure that he/she has an unobstructed view of the *Sample* leaving the *Athlete's* body and must continue to observe the *Sample* after it has been given until the *Sample* is securely sealed. The *DCO* will record the witnessing in writing. In order to ensure a clear and unobstructed view of the giving of the *Sample*, the *DCO* will instruct the *Athlete* to remove or adjust clothing which restricts the clear view of the *Sample* being given. Once the *Sample* has been given, the *DCO* will also ensure that the *Athlete* does not pass any additional volume at the time of provision which could have been secured in the collection vessel.
- D.4.11 The *DCO* will verify, in full view of the *Athlete*, that a *Suitable Volume of Urine for Analysis* has been provided.
- D.4.12 If the volume of urine is insufficient, the *DCO* will conduct a partial *Sample* collection procedure, as prescribed in Appendix E: Urine Samples – Insufficient Volume.
- D.4.13 The *DCO* will instruct the *Athlete* to select a *Sample* collection kit containing 'A' and 'B' bottles, in accordance with Rule D.4.4 of Appendix D: Collection of Urine Samples.
- D.4.14 Once a *Sample* collection kit has been selected, the *DCO* and the *Athlete* will check that all code numbers match and that this code number is recorded accurately by the *DCO*.
- D.4.15 If the *Athlete* or *DCO* finds that the code numbers do not match, the *DCO* will instruct the *Athlete* to choose another kit, in accordance with Procedure D.4.4 of Appendix D: Collection of Urine Samples. This will be recorded by the *DCO*.
- D.4.16 The *Athlete* will pour the minimum *Suitable Volume of Urine for Analysis* into bottle 'B' (to a minimum of 30 mL), then pour the remainder of the urine into bottle 'A' (to a minimum of 60 mL). If more than the minimum *Suitable Volume of Urine for Analysis* has been provided, the *DCO* will ensure that the *Athlete* fills bottle 'A' to capacity as per the recommendation of the equipment manufacturer. Should there still be urine remaining, the *DCO* will ensure that the *Athlete* fills bottle 'B' to capacity as per the recommendation of the equipment manufacturer. The *DCO* will instruct the *Athlete* to ensure that a small amount of urine is left in the collection vessel, explaining that this is in order to enable the *DCO* to test the specific gravity of that residual urine, in accordance with Procedure D.4.19.

- D.4.17 Urine should only be discarded when both bottle 'A' and bottle 'B' have been filled to capacity, in accordance with Procedure D.4.16, and after the residual urine has been tested, in accordance with Procedure D.4.19. The *Suitable Volume of Urine for Analysis* shall be viewed as an absolute minimum.
- D.4.18 The *Athlete* will seal the containers as directed by the *DCO*. The *DCO* will check, in full view of the *Athlete*, that the containers have been properly sealed.
- D.4.19 The *DCO* shall test the residual urine in the collection vessel to determine if the *Sample* has a *Suitable Specific Gravity for Analysis*. If the *DCO's* reading indicates that the *Sample* does not have a *Suitable Specific Gravity for Analysis*, the *DCO* will follow Appendix G: Urine Samples not meeting the Requirement for Suitable Specific Gravity for Analysis.
- D.4.20 The *DCO* will ensure that the *Athlete* has been given the option of demanding that any residual urine that will not be sent for analysis is discarded in full view of the *Athlete*.

APPENDIX E: COLLECTION OF BLOOD SAMPLES

E.1 Objectives

The objective is to collect an *Athlete's* blood *Sample* in a manner that ensures:

- a) consistency with relevant principles of internationally recognized standard precautions in healthcare settings so that the health and safety of the *Athlete* and *Sample* collection personnel are not compromised;
- b) that the *Sample* is of a quality and quantity that meets the relevant analytical guidelines;
- c) that the *Sample* has not been manipulated, substituted, contaminated or otherwise tampered with in any way;
- d) that the *Sample* is clearly and accurately identified; and
- e) that the *Sample* is securely sealed.

E.2 Scope

The collection of a blood *Sample* begins with ensuring that the *Athlete* is informed of the *Sample* collection requirements and ends with the *Sample* being properly stored prior to being dispatched for analysis at the *WADA* accredited laboratory.

E.3 Responsibilities

- E.3.1 The *DCO* is responsible for ensuring that:
- a) each *Sample* is properly collected, identified and sealed; and
 - b) all *Samples* have been properly stored and dispatched in accordance with the relevant analytical guidelines.
- E.3.2 The *Blood Collection Officer* is responsible for collecting the blood *Sample*, answering related questions during the blood *Sample* collection process, and proper disposal of used blood sampling equipment not required for completing the *Sample Collection Session*.

E.4 Requirements

- E.4.1 Procedures involving blood will be consistent with the local standards and regulatory requirements regarding precautions in healthcare settings.
- E.4.2 Blood *Sample* collection equipment will consist of:
- a) a single *Sample* tube for blood profiling purposes; or
 - b) both an 'A' and a 'B' *Sample* tube for blood analysis; or
 - c) as otherwise specified by the relevant laboratory.
- E.4.3 The *DCO* will ensure that the *Athlete* is informed of the

requirements of the *Sample* collection, including any modifications provided for in Appendix B: Modifications for *Athletes* with Disabilities.

- E.4.4 The *DCO/Chaperone* and *Athlete* will proceed to the area where the *Sample* will be provided.
- E.4.5 In accordance with the *WADA* Guidelines for Blood *Sample* Collection, the *DCO* will ensure the *Athlete* is offered comfortable conditions prior to providing a *Sample*.
- E.4.6 The *DCO* will instruct the *Athlete* to select the *Sample* collection kit/s required for collecting the *Sample* and to check that the equipment selected has not been tampered with and that the seals are intact. If the *Athlete* is not satisfied with the kit selected, he/she may select another. If the *Athlete* is not satisfied with any kits, and no others are available, this will be recorded by the *DCO*.
- E.4.7 If the *DCO* does not agree with the *Athlete* that all of the available kits are unsatisfactory, the *DCO* will instruct the *Athlete* to proceed with the *Sample Collection Session*. If the *DCO* agrees with the *Athlete* that all available kits are unsatisfactory, the *DCO* will terminate the *Sample Collection Session*. This will be recorded by the *DCO*.
- E.4.8 When a *Sample* collection kit has been selected, the *DCO* and the *Athlete* will check that all code numbers match and that this code number is recorded accurately by the *DCO*. If the *Athlete* or *DCO* finds that the code numbers do not match, the *DCO* will instruct the *Athlete* to select another kit. The *DCO* will record this.
- E.4.9 The *Blood Collection Officer* will clean the skin with a sterile disinfectant wipe or swab in a location unlikely to adversely affect the *Athlete* or his/her performance and, if required, apply a tourniquet. The *Blood Collection Officer* will draw the blood *Sample* from a superficial vein into the tube. The tourniquet, if applied, will be removed immediately after the venipuncture has been made.
- E.4.10 The amount of blood removed will be adequate to satisfy the relevant analytical requirements for the *Sample* analysis to be performed.

- E.4.11 If the amount of blood that can be removed from the *Athlete* at the first attempt is insufficient, the *Blood Collection Officer* will repeat the procedure.
A maximum of three attempts will be made. Should all attempts fail, the *Blood Collection Officer* will inform the *DCO*. The *DCO* will terminate the collection of the *Sample Collection Session* and record this and the reasons for termination.
- E.4.12 The *Blood Collection Officer* will apply a dressing to the puncture site/s.
- E.4.13 The *Blood Collection Officer* will dispose of used blood sampling equipment not required for completing the *Sample Collection Session* in accordance with the required local standards for handling blood.
- E.4.14 The *Athlete* will seal his/her *Sample* into the *Sample* collection kit as directed by the *DCO*. In full view of the *Athlete*, the *DCO* will check that the sealing is satisfactory.
- E.4.15 The sealed *Sample* will be stored in a manner that protects its integrity, identity and security prior to transport from the *Doping Control Station* to the *WADA*-accredited laboratory.
- E.4.16 The *WADA* Guidelines for Blood Sample Collection shall serve as a further source of information for blood collection and *Testing*.
- URINE

APPENDIX F: URINE SAMPLES-INSUFFICIENT VOLUME

F.1 Objective

The objective is to ensure that, where a *Suitable Volume of Urine for Analysis* is not provided, appropriate procedures are followed.

F.2 Scope

The procedure begins with informing the *Athlete* that the *Sample* is not a *Suitable Volume of Urine for Analysis* and ends with the provision of a *Sample* of sufficient volume.

F.3 Responsibility

The *DCO* is responsible for declaring the *Sample* volume insufficient and for collecting the additional *Sample(s)* in order to obtain a combined *Sample* of sufficient volume.

F.4 Requirements

- F.4.1 If the *Sample* collected is of insufficient volume, the *DCO* will inform the *Athlete* that a further *Sample* will be collected in order to meet the *Suitable Volume of Urine for Analysis* requirements.
- F.4.2 The *DCO* will instruct the *Athlete* to select partial *Sample* collection equipment, in accordance with Procedure D.4.4 of Appendix D: Collection of Urine Samples.
- F.4.3 The *DCO* will then instruct the *Athlete* to open the relevant equipment, pour the insufficient *Sample* into the container and seal it as directed by the *DCO*.
The *DCO* will check, in full view of the *Athlete*, that the container has been properly sealed.
- F.4.4 The *DCO* and the *Athlete* will check that the equipment code number, the volume and the identity of the insufficient *Sample* are recorded accurately by the *DCO*. Either the *Athlete* or the *DCO* will retain control of the sealed partial *Sample*.
- F.4.5 While waiting to provide an additional *Sample*, the *Athlete* will remain under continuous observation and be given the opportunity to hydrate.
- F.4.6 When the *Athlete* is able to provide an additional *Sample*, the procedures for collection of the *Sample* will be repeated, as prescribed in Appendix D: Collection of Urine Samples, until a sufficient volume of urine can be achieved by combining the initial and additional *Sample(s)*.

- F.4.7 When the *DCO* is satisfied that the requirements for *Suitable Volume of Urine for Analysis* have been met, the *DCO* and *Athlete* will check the integrity of the seal(s) on the partial *Sample* container(s) containing the previously provided insufficient *Sample(s)*. Any irregularity with the integrity of the seal(s) will be recorded by the *DCO* and investigated according to Appendix A: Investigating a Possible Failure to Comply.
- F.4.8 The *DCO* will then direct the *Athlete* to break the seal(s) and combine the *Samples*, ensuring that additional *Samples* are added sequentially to the first entire *Sample* collected until, as a minimum, the requirement for *Suitable Volume of Urine for Analysis* is met.
- F.4.9 The *DCO* and *Athlete* will then continue with the appropriate sections of Appendix D: Collection of Urine Samples.
- F.4.10 The *DCO* will check the residual urine to ensure that it meets the requirement for suitable specific gravity of urine for analysis.
- F.4.11 Urine should only be discarded when both the 'A' and 'B' containers have been filled to capacity, in accordance with Procedure D.4.16. The *Suitable Volume of Urine for Analysis* shall be viewed as an absolute minimum.

APPENDIX G: URINE SAMPLES THAT DO NOT MEET THE REQUIREMENT FOR SUITABLE SPECIFIC GRAVITY FOR ANALYSIS

G.1 Objective

To ensure that appropriate procedures are followed if the urine *Sample* does not meet the requirement for *Suitable Specific Gravity for Analysis*.

G.2 Scope

The procedure begins with the *DCO* informing the *Athlete* that a further *Sample* is required and ends either with the collection of a *Sample* that meets the requirements for *Suitable Specific Gravity for Analysis*, or with the appropriate follow-up action by the *IOC* (if required).

G.3 Responsibility

NYOGOC is responsible for establishing procedures to ensure that a suitable *Sample* is collected. If the original *Sample* collected does not meet the requirements for *Suitable Specific Gravity for Analysis*, the *DCO* will be responsible for collecting additional *Samples* until a suitable *Sample* is obtained.

G.4 Requirements

- G.4.1 The *DCO* will determine if the *Sample* does not meet the requirements for *Suitable Specific Gravity for Analysis*.
- G.4.2 The *DCO* will inform the *Athlete* that he/she is required to provide a further *Sample*.
- G.4.3 While waiting to provide additional *Samples*, the *Athlete* will remain under continuous observation.
- G.4.4 The *Athlete* will be encouraged not to hydrate excessively, since this may delay the production of a suitable *Sample*.
- G.4.5 When the *Athlete* is able to provide an additional *Sample*, the *DCO* will repeat the procedures for collecting the *Sample*, as prescribed in Appendix D: Collection of Urine Samples.
- G.4.6 The *DCO* will continue to collect additional *Samples* until the requirement for *Suitable Specific Gravity for Analysis* is met, or until the *DCO* determines that there are exceptional circumstances which mean that, for logistical reasons, it is impossible to continue with the *Sample Collection Session*. Such exceptional circumstances will be documented accordingly by the *DCO*.

- G.4.7 In accordance with G.4.10, given the logistical nature of the Games it would typically be impossible to collect more than two (2) *Samples* from *Athletes* during one *Doping Control* session. As such, the *IOC* will typically require *Athletes* to provide one (1) additional *Sample* in the event the *Athlete's Sample* does not meet the requirements for *Suitable Specific Gravity for Analysis*.
- G.4.8 The *DCO* will record that the *Samples* collected belong to a single *Athlete* and the order in which the *Samples* were provided.
- G.4.9 The *DCO* will then continue with the *Sample Collection Session* in accordance with appropriate sections of Appendix D: Collection of Urine Samples.
- G.4.10 If it is determined that none of the *Athlete's Samples* meets the requirement for *Suitable Specific Gravity for Analysis*, and if the *DCO* determines that for logistical reasons it is impossible to continue with the *Sample Collection Session*, the *DCO* may terminate the *Sample Collection Session*. In such circumstances, the *IOC* should be informed immediately, and the decision may be taken to continue the *Sample* collection process within the *Doping Control Station* at the *YOV*.
- G.4.11 All *Samples* which were collected, irrespective of whether or not they meet the requirement for *Suitable Specific Gravity for Analysis*, will be sent to the *WADA*-accredited laboratory by the *DCO*.
- G.4.12 The *WADA*-accredited laboratory will, in conjunction with the *IOC*, determine which *Samples* shall be analysed.

APPENDIX H: SAMPLE COLLECTION PERSONNEL REQUIREMENTS

H.1 Objective

To ensure that *Sample Collection Personnel* have no conflict of interest and have adequate qualifications and experience to conduct *Sample Collection Sessions*.

H.2 Scope

The procedure concerning *Sample Collection Personnel* requirements starts with the development of the necessary competencies and ends with the provision of identifiable accreditation.

H.3 Responsibility

NYOGOC is responsible for all activities defined in this Appendix.

H.4 Requirements—Qualification and Training

- H.4.1 NYOGOC will determine the necessary competence and qualification requirements for the positions of *DCO*, *Chaperone* and *Blood Collection Officer*. NYOGOC will develop duty statements for all *Sample Collection Personnel* that outline their respective responsibilities. As a minimum, these will be that:
- a) *Sample Collection Personnel* shall not be *Minors*; and
 - b) *Blood Collection Officers* will have adequate qualifications and the practical skills required to perform blood collection from a vein.
- H.4.2 NYOGOC will ensure that members of the *Sample Collection Personnel* who have an interest in the outcome of the collection or *Testing* of a *Sample* from any *Athlete* who might provide a *Sample* at a *Sample Collection Session* are not appointed to that same *Sample Collection Session*. *Sample Collection Personnel* are deemed to have an interest in the collection of a *Sample* if they are:
- a) involved in the planning of the sport for which *Testing* is being conducted; or
 - b) related to, or involved in, the personal affairs of any *Athlete* who might provide a *Sample* at that *Sample Collection Session*.
- H.4.3 NYOGOC will ensure that *Sample Collection Personnel* are adequately qualified and trained to carry out their duties.
- H.4.4 The training programme for *Blood Collection Officers* will, as a minimum, include studies of all relevant requirements of the

Testing process and familiarisation with relevant standard precautions in healthcare settings.

- H.4.5 The training programme for *DCOs* will, as a minimum, include:
- a) comprehensive theoretical training in different types of *Testing* activities relevant to the position of *DCO*;
 - b) observation, preferably on-site, of all *Sample* collection activities related to requirements in these Technical Procedures for Doping Control; and
 - c) the satisfactory performance of one complete *Sample Collection Session* on-site under observation by a qualified *DCO*, or similar. The requirement related to the actual giving of *Sample* will not be included in the on-site observations.
- H.4.6 As a pre-requisite for joining the NYOGOC Anti-Doping Programme as a *Doping Control Officer*, the individual must already be a certified *Doping Control Officer* in good standing with a *National Anti-Doping Organisation*.
- H.4.7 The training programme for *Chaperones* will include studies of all relevant requirements of the *Sample* collection process.
- H.4.8 NYOGOC and/or the *National Anti-Doping Organisation* will maintain records of education, training, skills and experience.

H.5 Requirements – Accreditation, Re-accreditation and Delegation

- H.5.1 NYOGOC will accredit and re-accredit *Sample Collection Personnel*.
- H.5.2 NYOGOC will ensure that *Sample Collection Personnel* have completed the training programme and are familiar with the requirements in these rules before granting accreditation.
- H.5.3 Accreditation will only be valid for the duration of the *Olympic Games*.
- H.5.4 Only members of the *Sample Collection Personnel* who have accreditation recognised by NYOGOC will be authorised by NYOGOC to conduct *Sample* collection activities on behalf of the *IOC*.

- H.5.5 DCOs may personally perform any activities involved in the *Sample Collection Session*, with the exception of blood collection. Alternatively, they may direct a *Chaperone* to perform specified activities that fall within the scope of the *Chaperone's* authorised duties.